



23-03-04

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218978

# TRANSMITTAL FORM

(To be used for all correspondence  
after initial filing)

<b>TRANSMITTAL FORM</b> <i>(To be used for all correspondence after initial filing)</i>	Application Number	09/843,533
	Filing Date	April 25, 2001
	First Named Inventor	William Fornaciari
	Art Unit	2189
	Examiner Name	Kim T. Huynh
	Attorney Docket No.	851763.406

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Additional Enclosure(s) (please identify below):
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## Remarks

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	E. Russell Tarleton Reg. No. 31,800	Customer Number 00500
Signature		
Date	March 2, 2004	

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Typed or printed name		
Signature		Date:

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT	(\$)	1290
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Complete If Known	
Application Number	09/843,533
Filing Date	April 25, 2001
First Named Inventor	William Fornaciari
Examiner Name	Kim T. Huynh
Art Unit	2189
Attorney Docket No.	851763.406

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METHOD OF PAYMENT			
<input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other			
<input type="checkbox"/> Deposit Account: Deposit Account Number <b>19-1090</b> Deposit Account Name <b>Seed Intellectual Property Law Group PLLC</b>			
The Director is authorized to (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee <input checked="" type="checkbox"/> Charge any deficiencies to the above-identified deposit account.			

FEE CALCULATION					
1. BASIC FILING FEE					
Large Entity	Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	<input type="text"/>
1002	340	2002	170	Design filing fee	<input type="text"/>
1003	530	2003	265	Plant filing fee	<input type="text"/>
1004	770	2004	385	Reissue filing fee	<input type="text"/>
1005	160	2005	80	Provisional filing fee	<input type="text"/>
				<b>SUBTOTAL (1)</b>	<b>(\$ 0)</b>

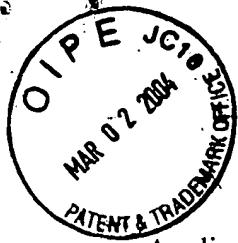
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE					
		Extra Claims	Fee from below	Fee Paid	
Total Claims	<b>27</b>	-30** = <b>0</b>	* <b>18</b> = <b>0</b>	<input type="text"/>	
Independent Claims	<b>18</b>	-3** = <b>15</b>	* <b>86</b> = <b>1290</b>	<input type="text"/>	
Multiple Dependent				<input type="text"/>	
Large Entity	Small Entity				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	
1202	18	2202	9	Claims in excess of 20	<input type="text"/>
1201	86	2201	43	Independent claims in excess of 3	<input type="text"/>
1203	290	2203	145	Multiple dependent claim, if not paid ** Reissue independent claims over original patent	<input type="text"/>
1204	86	2204	43	** Reissue claims in excess of 20 and over original patent	<input type="text"/>
1205	18	2205	9		<input type="text"/>
				<b>SUBTOTAL (2)</b>	<b>(\$ 1290)</b>

\*\*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)						
3. ADDITIONAL FEES						
Large Entity		Small				
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath		<input type="text"/>
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		<input type="text"/>
1053	130	1053	130	Non-English specification		<input type="text"/>
1812	2520	1812	2520	For filing a request for ex parte reexamination		<input type="text"/>
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		<input type="text"/>
1805	1840*	1805	1840*	Requesting publication of SIR after Examiner action		<input type="text"/>
1251	110	2251	55	Extension for reply within first month		<input type="text"/>
1252	420	2252	210	Extension for reply within second month		<input type="text"/>
1253	950	2253	475	Extension for reply within third month		<input type="text"/>
1254	1480	2254	740	Extension for reply within fourth month		<input type="text"/>
1255	2010	2255	1005	Extension for reply within fifth month		<input type="text"/>
1401	330	2401	165	Notice of Appeal		<input type="text"/>
1402	330	2402	165	Filing a brief in support of an appeal		<input type="text"/>
1403	290	2403	145	Request for oral hearing		<input type="text"/>
1451	1510	1451	1510	Petition to institute a public use proceeding		<input type="text"/>
1452	110	2452	55	Petition to revive - unavoidable		<input type="text"/>
1453	1330	2453	665	Petition to revive - unintentional		<input type="text"/>
1501	1330	2501	665	Utility issue fee (or reissue)		<input type="text"/>
1502	480	2502	240	Design issue fee		<input type="text"/>
1503	640	2503	320	Plant issue fee		<input type="text"/>
1460	130	1460	130	Petitions to the Commissioner		<input type="text"/>
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		<input type="text"/>
1806	180	1806	180	Submission of Information Disclosure Stmt		<input type="text"/>
8021	40	8021	40	Recording each patent assignment per property (times number of properties)		<input type="text"/>
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))		<input type="text"/>
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))		<input type="text"/>
1801	770	2801	385	Request for Continued Examination (RCE)		<input type="text"/>
1802	900	1802	900	Request for expedited examination of a design application		<input type="text"/>
				Other fee (specify) _____		
				*Reduced by Basic Filing Fee Paid	SUBTOTAL (3) <b>(\$ 0)</b>	

SUBMITTED BY				Customer Number	
Name (Print/Type)	<b>E. Russell Tarleton</b>		Registration No. Attorney/Agent	<b>31,800</b>	<b>00500</b>
Signature			Date	March 2, 2004	

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : William Fornaciari et al.  
 Application No. : 09/843,533  
 Filed : April 25, 2001  
 For : ENCODER/DECODER ARCHITECTURE AND RELATED  
 PROCESSING SYSTEM

Examiner : Kim T. Huynh  
 Art Unit : 2189  
 Docket No. : 851763.406  
 Date : March 2, 2004

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Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

AMENDMENT

Commissioner for Patents:

In response to the Office Action dated December 2, 2003, please amend the application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 16 of this paper.

03/05/2004 SSESHE1 00000131 09843533  
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